

FIF Options Symbology Initiative Implementation

Meeting Agenda based on FIF OSI Implementation Document v4.0

Table of Contents

1	Revision History
2	Overview
3	OSI Methodology
3.1	Standard Options
3.2	Non-Standard Options
3.2.1	Corporate Actions
3.2.2	Corporate Actions (Options with a 5 Character Underlying)
3.2.3	FLEX Options
3.2.4	Other Non-Standard Options (e.g., Binaries, Ranges)
3.3	Half Point Strike Products
3.4	Resolved Issues
4	Phased Implementation & Test Preparation
4.1	OCC
4.2	Exchanges
4.3	OPRA
4.4	DTCC
4.5	ISG
4.5.1	Blue Sheets
4.5.2	Large Options Position Reporting (LOPR)
4.6	Vendor OSI Implementation
4.7	Executing Broker OSI Implementation
5	Industry Testing (Sept 2009 – January 2010)
5.1	Industry Testing Issues/Questions
5.1.1	Open Issues/Questions
6	February 12, 2010 Cutover
6.1	Contract Adjustment Methodology
7	Phased Consolidation
7.1	Consolidation Issues/Questions
8	Canadian OSI
9	Summary OSI Project Risks
10	Appendix: Impact by Functional Component
11	Appendix: Operations Systems/Functions with OSI Impact
12	Scripted Industry Testing Checklist
13	Appendix: Combined OSI Milestones

List of Tables / Figures

Table 1.	OSI Interface Testing & Phased Implementation Summary
Table 2.	OCC OSI Testing & Pre-Industry Testing Requirements
Table 3.	Exchange Pre-Feb 12, 2010 OSI Implementation
Table 4.	OSI Exchange Testing & Pre-Industry Testing Requirements by Exchange
Table 5.	DTCC OSI Implementation Schedule
Table 6.	Vendor OSI Implementation Information (To be updated at May FIF Meeting)
Table 7.	Industry Testing Milestones
Table 8.	OSI Consolidation Events